

EXHIBIT

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# Interleukin-1β converting enzyme (caspase-1) in intestinal inflammation

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#### **Abstract**

An imbalance of T helper cell type 1 (Th1) versus type 2 (Th2) polarization in favor of Th1 cell subsets appears to be a key pathogenic mechanism in chronic inflammatory bowel disease (IBD), in particular in Crohn's disease. The interferon γ-inducing factor interleukin (IL)-18 acts in strong synergism with the Th1 polarizing cytokine IL-12. Recent studies provide evidence for the participation of IL-18 in the pathogenesis of IBD: IL-18 expression is increased in inflamed lesions of Crohn's disease patients and neutralization of IL-18 in different models of experimental colitis resulted in a dramatic amelioration of disease severity. IL-18 and IL-1β are cleaved and thereby activated by the interleukin-1β converting enzyme (ICE). Activation of ICE also occurs during different types of infectious colitis, and ICE expression and subsequent release of IL-1β and IL-18 significantly contribute to intestinal inflammation. ICE knockout mice as well as mice treated with the ICE inhibitor pralnacasan are protected against experimental mucosal inflammation. Thus, inhibition of ICE represents an intriguing new target that requires further investigation in animal models. © 2002 Elsevier Science Inc. All rights reserved.

Leywords: Inflammatory bowel disease; Interleukin-1β converting enzyme; IL-18; IL-1β; Experimental colitis

### 1. Introduction

Human IBD is a chronic, relapsing, and remitting inflammatory condition of unknown origin that afflicts individuals of both sexes throughout life. Studies in humans with IBD suggest that genetic and environmental factors contribute to the pathogenesis of these disorders [1]. Studies in experimental models of mucosal inflammation provide profound insight into the understanding of pathologies of mucosal immunity, thereby guiding investigators towards new innovative therapeutic strategies for IBD.

Several approaches focusing on the inhibition of the proinflammatory Th1 response, such as treatment with a chimeric antibody against TNFα in patients with Crohn's disease [2] or administration of antibodies against IL-12 in experimental mucosal inflammation, have been shown to be dramatically effective [3,4]. Studies from the recent literature suggest that inhibition of the IFNγ-inducing factor IL-18, which strongly synergizes with IL-12, might be of therapeutic significance as well. IL-18 and IL-1 $\beta$  require cleavage by the ICE, also named caspase-1 [5], to become active mediators. The availability of ICE inhibitors offers a strong pharmacological tool for *in vivo* evaluation. The present review will focus on ICE, the biological activities of IL-18, the relevance of IL-18 neutralization during intestinal inflammation, and, hence, the possible significance of ICE inhibition.

### 2. ICE

### 2.1. Definition of ICE

ICE or caspase-1 is a member of a large family of intracellular cysteine proteases known as caspases. The term caspase stands for cysteine proteases cutting after aspartic acid [6]. The *ICE* gene codes for a 45 kDa inactive precursor protein that is constitutively expressed in many cell types. Whereas most caspases appear to be involved in the enzymatic pathways leading to apoptosis, ICE probably plays a lesser role in that function, and its predominant role is in the processing of IL-1 $\beta$  and IL-1 $\delta$ . As with most caspases, the 45 kDa precursor of ICE requires two internal

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Abbreviations: ICE, interleukin-1β converting enzyme; Th1/2, T helper rell type 1/2; IL, interleukin; IBD, inflammatory bowel disease; IFNγ, terferon-γ; TNFα, tumor necrosis factor-α; DSS, dextran sulfate sodium; TNBS, trinitrobenzene sulfonic acid; IL-18BP, IL-18 binding protein; and hIL-18BPa, human IL-18BP isoform a.

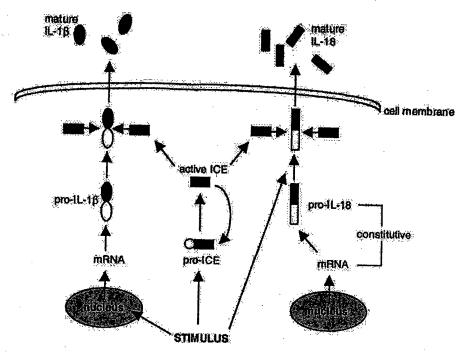


Fig. 1. Synthesis, ICE processing, and secretion of IL-1β and IL-18. A human monocyte is shown. After cell stimulation, mRNA for pro-IL-1β is induced and enters the cytosol. Pro-ICE is cleaved into active ICE by members of the caspase family including ICE itself. Pro-IL-1β is found diffusely in the cytosol and is cleaved by active ICE into mature IL-1β, which is secreted from the cell. Pro-IL-18 is expressed constitutively, as is the IL-18 mRNA. After stimulation of the monocyte, pro-IL-18 is cleaved by activated ICE and released.

cleavages before being enzymatically active as a heterodimer comprised of a 10 and 20 kDa chain. ICE itself contributes to autoprocessing of the ICE precursor by undergoing oligomerization with itself or other members of the caspase family, such as caspase-3 [7,8]. Each caspase appears to have limited substrate specificity: pro-IL-1 $\beta$  and pro-IL-18 have been identified as substrates for ICE. The activation of ICE and the subsequent activation of IL-1 $\beta$  and IL-18 are illustrated in Fig. 1 and will be discussed in more detail below.

### 2.2. Regulation of ICE

Activation of ICE is often associated with generalized cell activation and IL-18 and IL-18 production. Although endotoxin activates ICE as well as the synthesis of IL-1 \u00e3, endogenous cytokines also possess this dual property. For example, the CD40 ligand, a member of the TNFa superfamily of ligands, activates ICE and leads to secretion of IL-1ß from human endothelial and smooth muscle cells [9]. IFNy also induces ICE expression, but not activation, in macrophages [10]. Not surprisingly, there is evidence that the regulation of ICE activity is affected by intracellular inhibitors. One such inhibitor is the serine proteinase inhibitor 9, which reduces ICE activity in cultured human smooth muscle cells [11]. Nitric oxide is a potent inhibitor of caspase activity through a mechanism that involves Snitrosylation [12,13]. Accordingly, nitric oxide prevents the release of both IL-1\beta and IL-18 in the extracellular space [14].

## 2.3. Blockade of ICE in disease

The role of ICE has been characterized in several models of *in vivo* inflammation. ICE-deficient mice are resistant to lethal endotoxemia, but this is due to a failure to process pro-IL-18 and induce IFNγ rather than to inhibition of IL-1β [15,16]. In different models of acute pancreatitis, inhibition of ICE by the administration of an irreversible ICE inhibitor was shown to be protective [17,18]. Moreover, *ICE* knockout mice were found not to develop acute pancreatitis despite induction [19]. In addition, administration of an irreversible ICE inhibitor resulted in reduced inflammation in the mouse model of collagen-induced arthritis [20]. Furthermore, in melanoma studies, the number of hepatic metastases after intrasplenically injected mouse B16 melanoma cells was 84–95% lower in *ICE* knockout than in wild-type mice [21].

# 2.4. ICE in infectious intestinal inflammation

Three examples in the recent literature provide evidence that infection with distinct microorganisms and concurrent intestinal inflammation strongly depend on ICE activity. Enterobacteria of the genus *Shigella* are the causative agents of bacillary dysentery, manifested by painful abdominal cramps, fever, and characteristic blood and mucus in the stools [22]. Resident macrophages infected with *Shigella flexneri* undergo apoptosis [23,24] and release IL-1 $\beta$ , thereby initiating an inflammatory cascade [25–27]. Apoptosis induction as well as IL-1 $\beta$  maturation can be prevented by ICE inhibitors [28].

The protozoan parasite Entamoeba histolytica, which causes amoebic dysentery and amoebic liver abscesses, is one of the leading causes of death from parasitic diseases worldwide [29]. Intestinal inflammation and ulceration are the hallmarks of amoebic dysentery [30–32]. Amoebic cysteine proteases are mandatory as virulent factors, as cysteine proteinase-deficient amoeba failed to induce intestinal epithelial cell production of IL-1β and IL-18, accompanied by significantly reduced gut inflammation and damage to the intestinal permeability barrier [33].

Salmonella typhimurium invades host macrophages and can either induce rapid cell death or establish an intracellular niche within the phagocytic vacuole [34]. SipB, a protein translocated by Salmonella into the cytoplasm of macrophages, is required for the activation of ICE [35]. ICE knockout mice have an oral Salmonella typhimurium LD<sub>50</sub> that is 100-fold higher than that of wild-type mice, accompanied by a decrease in apoptotic cells and decreased Salmonella dissemination [36,37]. These three examples point out that the activation of ICE and the subsequent release of IL-1β and IL-18 play a significant role in intestinal inflammation during infection.

### 2.5. ICE in IBD

A study on ICE in the context of IBD by McAlindon and colleagues [10] led to an important observation. Exposure of normal colonic macrophages to lipopolysaccharide induced only the production of the precursor of IL-1B, because the cells failed to activate ICE. In contrast, colonic macrophages from patients with IBD were able to activate ICE and hence release mature IL-1 \beta in a manner similar to circulating monocytes. This is consistent with recent recruitment of IBD macrophages from the circulating monocyte population. Recent studies from our group examined the acute and chronic model of DSS-induced colitis in ICE knockout mice [38]. In particular, during chronic administration of DSS over 4 weeks, ICE knockout mice presented with an almost complete absence of colitis. This significant amelioration was accompanied by reduced cell activation in the draining mesenteric lymph nodes and a significant reduction of the pro-inflammatory cytokines IL-18, IFN $\gamma$ , and IL-1 $\beta$  in the colon.

Several ICE inhibitors are available for experimental use in vivo or in vitro. Pralnacasan, an orally active inhibitor of human ICE, has been administered to healthy volunteers in phase I trials. The phase I and phase II clinical programs have confirmed that pralnacasan is well absorbed from oral solutions and tablet formulations and achieves plasma levels sufficient to inhibit production of IL-1β in an exvivo assay. The preliminary safety profile from these studies in healthy volunteers and rheumatoid arthritis patients is excellent. Pralnacasan is currently in phase II trials in rheumatoid arthritis. No toxic side-effects have been observed [39]. Pralnacasan has also been adminis-

tered to mice during acute DSS-induced colitis, resulting in significant amelioration of disease activity [40].

# 3. Possible mechanisms for the protective role of ICE inhibition in IBD

### 3.1. Biological activities of IL-1\beta and IL-18

Monocytes/macrophages are the best studied source of IL-18 [41]. Most of the information on the production of IL-18 is derived from mice preconditioned with Propionibacterium acnes and subsequently challenged with lipopolysaccharide, the original model used to isolate and clone this cytokine [42]. In this particular model, Kupffer cells in the liver are the major producers of IL-18 [42,43]. Differently from what was previously observed for IL-1β [44,45], constitutive gene expression for IL-18 is present in unstimulated, freshly isolated human peripheral blood mononuclear cells as well as murine splenocytes [46] (see also Fig. 1). Constitutive expression of IL-18 mRNA has been observed in many hematopoietic cell lines [47]. The structure of the promoter region of the IL-18 gene provides insight into these observations. The promoter for IL-18 does not contain a TATA box, and promoter activity upstream of exon 2 acts constitutively [48]. The additional finding that the 3' untranslated region of human IL-18 lacks the AUUUA destabilization sequence is also consistent with these observations [48].

IL-18 acts via an IL-18 receptor complex. The IL-18 receptor complex consists of two non-identical chains: a ligand binding chain termed IL-18 $\alpha$  and a non-ligand binding chain termed IL-18 $\beta$  [49–51]. IL-18 does not directly induce IFN $\gamma$  and other Th1 cytokines [52], but acts together with IL-2 or IL-12 as a costimulant. The synergism between IL-12 and IL-18 and the subsequent effects on the immune system are illustrated in Fig. 2.

IL-1 $\beta$  is not constitutively expressed in healthy subjects [53,54]. Intracellularly, IL-1 $\beta$  is found diffusely in the cytosol rather than localized in the endoplasmic reticulum or Golgi structures [55,56] (Fig. 1). Nearly all microbes and microbial products induce production of IL-1 $\beta$ , but stimulants of nonmicrobial origin can also stimulate transcription [57]. Stimuli such as complement factor 5a, hypoxia, adherence to surfaces, and clotting blood induce the synthesis of large amounts of IL-1 $\beta$  mRNA in monocytic cells without significant translation into IL-1 $\beta$  protein. A second stimulus, such as pro-inflammatory cytokines including IL-1 $\beta$  itself, activates translational mechanisms resulting in translation of the IL-1 $\beta$  mRNA. A review on the biological actions of IL-1 $\beta$  can be found in Ref. [57].

### 3.2. Cleavage of IL-1\beta and IL-18 by ICE

Posttranslational, enzymatic processing is a critical step in the modulation of the activity of several cytokines. The

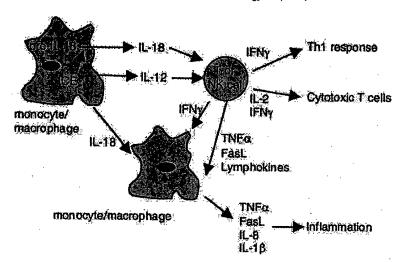


Fig. 2. Biological activities of IL-18 and cell activation. Starting at the upper left, monocytic/macrophagic cells use ICE to cleave inactive pro-IL-18 into active IL-18, which is then secreted from the cell. The same cells also release IL-12 by an ICE-independent mechanism. The combination of IL-18 and IL-12 causes T lymphocytes and natural killer (NK) cells to produce IFNγ, which (a) acts on macrophages to increase ICE expression and further activates the macrophage, and (b) activates CD4<sup>+</sup> T lymphocytes as part of the Th1 response. The IL-18/IL-12-stimulated T cell or NK cell releases several lymphokines, TNFα, and/or Fas ligand (FasL). In turn, these cytokines stimulate macrophages to release TNFα, FasL, IL-8, and IL-1β, which result in increased inflammation. The IL-18/IL-12-stimulated T lymphocyte or NK cell also releases IFNγ and IL-2, which result in the generation of cytotoxic T cells.

genes for some cytokines do not encode for a typical signal sequence common to the vast number of secretory, structural, and membrane-bound proteins. IL-1 $\beta$  and IL-18 are examples of cytokines lacking a leader peptide [7,57,58]. These cytokines gain access to the extracellular environment via secretory mechanisms that are linked to their processing. The relationship between the unprocessed IL-1 $\beta$  and IL-18 and the mature form of both cytokines is essential to identify the pleiotropic functions of these molecules. Both pro-IL-1 $\beta$  and pro-IL-18 are biologically inactive [57].

Following synthesis, pro-IL-1 $\beta$  remains primarily cytosolic until it is cleaved and transported out of the cell (Fig. 1). Release of mature IL-1 $\beta$  is often linked to the cleavage at the aspartic acid-alanine (amino acid 116-117) site by ICE [59]. If pro-IL-1 $\beta$  is released into the extracellular space, for example, following cell death or necrosis, there are several extracellular proteases that can cleave pro-IL-1 $\beta$  into an active cytokine [60-63].

# 3.3. IL-1 $\beta$ and intestinal inflammation

The role of IL-1β in intestinal inflammation depends upon both the up-regulation of IL-1β production and the level of its naturally occurring inhibitor, the IL-1 receptor antagonist (IL-1Ra). Indeed, there is evidence that the balance of IL-1 and IL-1Ra may affect disease outcome. For example, mice deficient in IL-1Ra develop spontaneous rheumatoid arthritis and lethal arteritis [64,65]. Administration of IL-1Ra reduces disease severity in several models of intestinal inflammation [57], including decreased immune complex-induced colitis severity in rabbits [66]. A review of the role of IL-1β in intestinal inflammation has been provided in Ref. [67].

### 3.4. IL-18 and intestinal inflammation

Several studies provide strong direct and indirect evidence for a significant role of IL-18 in intestinal inflammation. Nakamura and colleagues confirmed in vivo the synergistic effect of IL-12 and IL-18, originally described in vitro [68], by concomitant injection of both cytokines or either one alone [69]. While mice injected with IL-18 alone did not present with macroscopic changes, IL-12-injected mice showed significant weight loss and colitis. However, the combined administration of both cytokines resulted in severe colitis and high mortality. Chikano and colleagues [70] confirmed this in vivo synergism and showed, in addition, that the intestinal inflammation occurs in an IFN $\gamma$ -dependent but TNF $\alpha$ -, NO- and Fas ligand-independent manner. Consistent with an increased Th1 response in Crohn's disease, several groups could independently demonstrate a significant up-regulation of IL-18 expression in the inflamed lesions of the intestine, mostly localized to macrophages and epithelial cells [71,72]. Interestingly, no increase in IL-18 expression could be observed in inflamed lesions from patients with ulcerative colitis, which is partly characterized by an increase of Th2 cytokines [72]. While increased IL-18 expression is providing first evidence for a possible role in disease, in order to prove that IL-18 participates in the inflammatory process of intestinal inflammation it has to be demonstrated that blockade of IL-18 results in amelioration of disease severity.

In the recent literature, four studies using different animal models of colitis and different ways of IL-18 blockade approach this question. An overview is provided in Table 1, and the studies are summarized in the subsequent paragraphs. Our group examined the role of IL-18

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Table 1

Blockade	of	$IL_{-18}$	in	experimental	colitis	in	mice

Animal model of colitis	IL-18 blocking strategy	Study results	References
Dextran sulfate sodium	Anti-IL-18 antiserum	<ul> <li>IL-18 expression localized to intestinal epithelial cells</li> <li>Colitis aggravation accompanied by IL-18 increase</li> <li>Histological amelioration of colitis by anti-IL-18 treatment</li> <li>Significant decrease in IFNγ, IL-18, and TNFα in the colon after anti-IL-18 treatment</li> </ul>	[73]
Trinitrobenzene sulfonic acid	Anti-IL-18 antibody	<ul> <li>IL-18 expression localized to macrophages</li> <li>Anti-Mac1-saporin antibody as well as neutralizing antibody against IL-18 resulted in a dramatic histological attenuation of colitis</li> <li>TNBS cannot induce significant colitis in the IL-18 knockout mice</li> <li>Reduction in IFNγ in IL-18 knockout, anti-IL-18 or anti-Mac1-saporin-treated mice</li> </ul>	[77]
Trinitrobenzene sulfonic acid	IL-18 binding protein	<ul> <li>Significantly less histological signs of inflammation after hIL-18BPa treatment</li> <li>Significant reduction in TNFα, IL-1β, and IL-6, but no decrease in IFNγ, IL-10, and IL-4 in colon homogenates</li> </ul>	[78]
CD62L <sup>+</sup> CD4 <sup>+</sup> transfer model	Local administration of adenovirus expressing IL-18 antisense mRNA	<ul> <li>IL-18 expression localized to intestinal epithelial and some mononuclear cells in the lamina propria</li> <li>Significant decrease in inflammation after treatment, as evaluated histologically and endoscopically</li> <li>Attenuation of IL-18 synthesis in lamina propria mononuclear cells after treatment</li> </ul>	[81]

blockade in the model of DSS-induced colitis [73]. In this model, colitis is induced chemically and is associated with the up-regulation of pro-inflammatory cytokines [74]. \*Colitis can be induced in severe combined immunodeficiency mice by DSS administration and hence is not T cell-dependent [75]. However, during the course of DSSinduced colitis, T cells become activated at the inflammation site and participate in the inflammatory process [76]. In this model, an anti-IL-18 antiserum was administered to achieve IL-18 blockade. IL-18 expression could be localized to the epithelial cells and was reduced significantly in anti-IL-18-treated mice. Blockade of IL-18 was accompanied by a significant reduction in the release of other proinflammatory cytokines. In addition, histological signs of inflammation were decreased significantly by blockade with anti-IL-18.

Kanai and colleagues [77] investigated the model of TNBS-induced colitis and focused in particular on the role of IL-18 produced by macrophages. It is hypothesized that the ethanol used as a vehicle in the rectal administration of TNBS disrupts the mucosal epithelial barrier, enabling this hapten to bind covalently to proteins of colonic epithelial cells and modify cell surface proteins. Fragments of these altered cells can be taken up by macrophages and dendritic cells for presentation to T cells as antigens, resulting in a Th1-dominated colitis. Earlier studies showed that administration of neutralizing IL-12 antibodies and thereby blockade of the Th1 pathway is protective [3]. In mice treated with an anti-IL-18 antibody as well as in IL-18 knockout mice, TNBS was unable to induce significant colitis. An increase in IL-18 synthesis in this model could be localized to the macrophages. In fact, administration of an antibody conjugated to the ribosome-inactivating

protein saporin directed against macrophages also resulted in protection against TNBS-induced colitis.

Ten Hove and colleagues [78] also examined the model of TNBS-induced colitis; however, they applied a different strategy to neutralize IL-18. In this study, IL-18BP, a naturally occurring IL-18 antagonist, was administered [79]. The human IL-18BP gene encodes for four different isoforms (a-d) generated by alternative mRNA splicing [80]. These isoforms vary in their ability to bind IL-18; only human IL-18BP isoform a (hIL-18BPa) and isoform c have a neutralizing ability, and recombinant hIL-18BPa neutralizes murine IL-18. In this study, mice were treated with recombinant hIL-18BPa during the course of TNBS-induced colitis. Blockade of IL-18 by the recombinant hIL-18BPa resulted in a reduced clinical score accompanied by reduction of TNFα, IL-6, and IL-1β in the colon homogenate, while IFNγ, IL-10, and IL-4 remained unchanged.

Finally, Wirtz and colleagues [81] neutralized IL-18 by local administration of an adenovirus expressing IL-18 antisense mRNA in the T cell-dependent transfer model of colitis. This model is based on the transfer of CD62L<sup>+</sup> CD4<sup>+</sup> T cells in severe combined immunodeficiency mice resulting, after 6–12 weeks, in chronic colitis, which is histologically similar to Crohn's disease in humans [82]. In this study, a significant reduction in IL-18 by adenovirus infected mice expressing IL-18 antisense mRNA was accompanied by a decrease in endoscopically and histologically evaluated inflammation as well as in IFNγ production.

Interestingly, when comparing these four studies, the *IL-18* knockout mice as well as the administration of the neutralizing antibody, the antiserum, or the adenovirus expressing IL-18 antisense mRNA seem to result in a more

dramatic amelioration than the administration of recombinant hIL-18BPa. In particular, in the IL-18 knockout mice, as well as in the studies with the neutralizing anti-IL-18 antibody/antiserum or after local administration of the adenovirus expressing IL-18 antisense mRNA, a significant reduction in colonic IFNγ concentrations was observed. However, no suppression could be measured in mice after recombinant hIL-18BPa treatment [73,77,78,81]. These differences are of significance and may point out that, although in theory all strategies described aim at the neutralization of IL-18, the IL-18BP might exert additional biological functions that are currently unknown and require further investigations in the future.

The pathogenesis of inflammatory bowel diseases remains elusive. However, results from these experimental models, in combination with the descriptive data from patients with Crohn's disease, suggest an important function of IL-18.

### 4. Conclusions

The studies in the chronic and acute DSS model suggest that the ICE may contribute to IBD. However, further investigations on the effect of ICE inhibitors in other animal models of experimental colitis are necessary to evaluate whether a similar efficacy can be achieved when compared with the results obtained from single IL-18 or IL-1β blockade. In addition, an IL-1β- and IL-18-independent, yet unknown, mechanism might contribute to the anti-inflammatory function of ICE blockade. Compared to currently used strategies to suppress specific cytokines, which mostly implicate antibody therapy, the possibility of having an orally available drug whose half-life can be easily controlled is highly intriguing.

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